

Search Plan Process



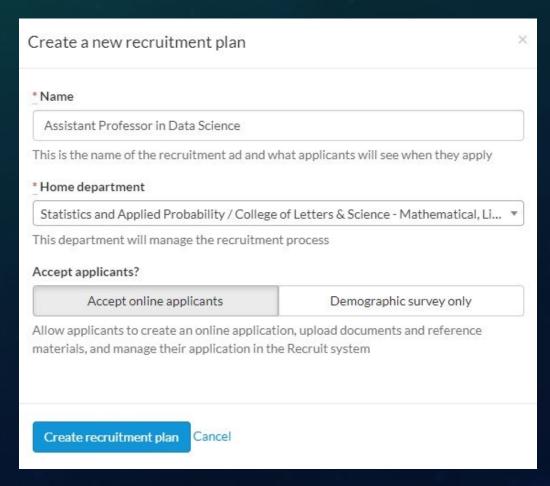
Prepare

- Work with your department AP Analyst to establish procedure and parameters
- AP Website:
 - Resources for Dept Analysts -> UC Recruit -> Creating a Recruitment
 - Creating a Recruitment
 - UC Recruit System Resources:
 - Review the <u>Create Recruitment Plan</u> ☐ help section within UC Recruit for information on various fields and steps.
 - Review the <u>How to create a multi-level</u> help section within UC Recruit for information on creating a multi-level recruitment.
 - Review the <u>Multi-level changes</u> help section within UC Recruit for information on the types of changes that can be made to applications with a multi-level recruitment, or to the recruitment configurations themselves.
 - UCSB Resources:
 - Search Plan Worksheet W (rev. 4/23/2021)

This is a checklist/form including the various fields available when creating a recruitment. Consult with search committee members where applicable to ensure the recruitment is configured in accordance with expectations.

Configure: Gather Basic Info

- Name of the recruitment plan. Include:
 - Job title
 - Area of specialization
 - Department
- Home Department
 - The recruiting department that will manage this search
- Accept Applicants
 - Configure online application intake



Configure: Search Info

- Details general info about the recruitment
- Position details about the position
- Description details about the job duties
- Requirements application doc & reference requirements
- Qualifications
- Diversity
- Advertisements where you will place your ads
- Selection Process how you will arrive at a finalist
- Committee members of the search committee

SEARCH INFO

Details

Position

Description

Requirements

Qualifications

Diversity

Advertisements

Selection process

Committee

Documentation

Disposition reasons

Conclusion

APPROVALS

Search plan

-

Applicant pool

Shortlist

Search report

TOOLS

Activity log

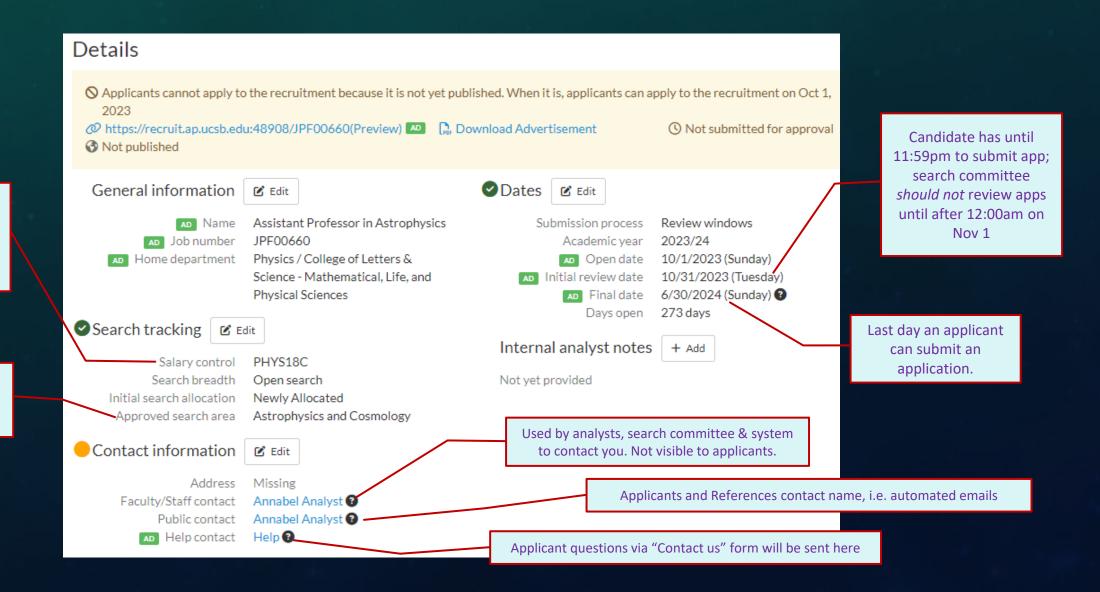
Delete recruitment

The Search Plan, in depth

Details

FTE Identifier (SAID), as approved by the EVC's office (Senate only)

Search areas, as approved by the EVC's office

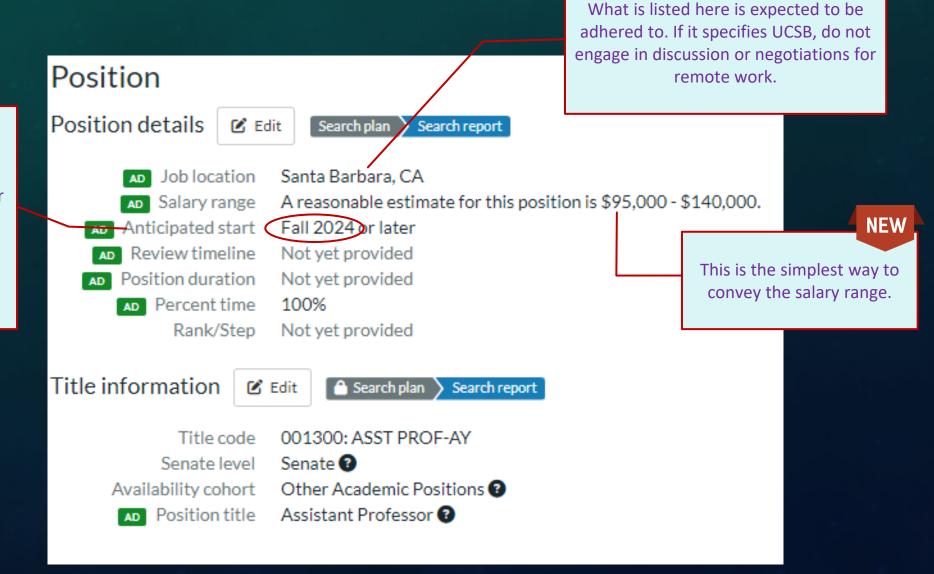


A note on review windows

- Searches are set up with "Review Windows":
 - Open Date date recruitments opens; applicants can apply starting then
 - Initial Review Date applicants have until 11:59pm of this date to submit an application.
 - Must be at least 30 days from open date for Senate searches (14 days for non-Senate searches)
 - Final Date last date an applicant can submit an application
 - However, they may not be considered if they do not apply within a review window
- Additional review dates may be added
 - If initial pool is not robust enough (conduct additional outreach/advertising)
 - If first round of applications did not result in a competitive shortlist

Position

- May have ramifications for Add'l Qualifications
- Best practice: include "or later" when applicable, e.g. "July 1, 2024 or later" – but this doesn't extend in perpetuity!



A note on Salary Ranges

- SB 1162: requires the university's job ads to include pay scale for a position
- What is a "pay scale"?
 - The salary or hourly wage range you reasonably expect to pay for the position
 - Typically expressed as a range, e.g. "\$95,000 -- \$140,000 annually"
 - Must be included in all ads, even short ads
- Salary offered to finalist MUST fall within this \$X -- \$Y range
 - Cannot offer less than \$X or more than \$Y (range adjustments notwithstanding)
 - Take into account rank, market factors, potential competing offers, etc, when setting this range
- https://ap.ucsb.edu/resources.for.department.analysts/content/UCSB.Implementation.AB.168.and.SB.1162.pdf

Description

Recommended Info:

- Position Name or Title
- Department
- Job duties and area of specialization

Optional:

Information about dept

Description

Position description search Plan



Edit

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The department is especially interested in candidates who can contribute to the diversity and excellence of the academic community through research, teaching, and service as appropriate to the position.

Standard text

Standard text is set by your campus admin and will appear in the ad

The University of California is an Equal Opportunity/Affirmative Action Employer. All qualified applicants will receive consideration for employment without regard to race, color, religion, sex, sexual orientation, gender identity, national origin, disability status, protected veteran status, or any other characteristic protected by law.

As a condition of employment, you will be required to comply with the University of California Policy on Vaccination Programs, as may be amended or revised from time to time. Federal, state, or local public health directives may impose additional requirements.

Job description details

Diversity tagline must be included

Requirements: References

- Three options:
 - Letters of Recommendation
 - Applicants must provide name and contact info for references, AND trigger the solicitation for letters in UC Recruit, in order to complete and submit application
 - Contact Information Only
 - Applicants must provide name and contact info for references. No letters are solicited at time of application
 - Decide at what point in the recruitment the references will be contacted
 - None
- Reference Process Explanation
 - Applicant-facing! Describes how/when their references will be used
 - Be aware of ramifications of the reference option chosen!

Reference Process Explanation– Examples

Letters of Recommendation:

- Applicants should provide the names and contact information for at least 3 references, and must request letters of references within the UC Record type em in order to complete application requirements
- Contact Information Only:
 - Applicants who advance to the shortlist will have their references contacted by the
 department. Solicitations will be sent out at the time that shortlisted applicants accept an
 invitation for interview. Applicants a sould provide 3-5 names with contact information.
 - Applicants are required to provide the names and contact information for a minimum of 2 references and may support up to 2 additional optional references. Applicants should inform their references that they may be contacted by the department at any time during this recruitment.
 - Please provide the names and complete contact information for 3 references. The department will conduct a reference check for the finalist before extending an offer

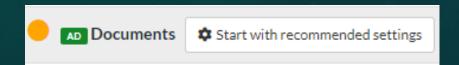
Beware of implicit bias in letters!

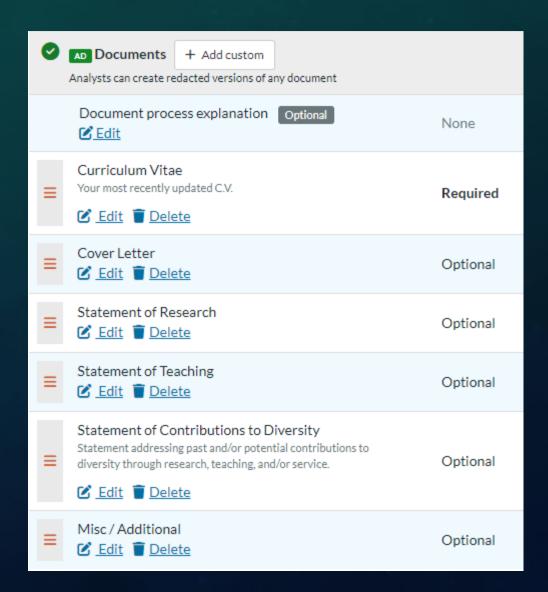
- Various studies over the years examined reference letters for faculty position applications
 - More "grindstone" descriptors for women, ability-focused descriptors for men
 - More standout adjectives used for men
 - Possessive phrases along gender schemes (e.g. "her teaching" vs "his research")
- Being aware of potential implicit bias on the part of the letter writers, and taking this into account = an important part of overall efforts to enhance Diversity, Equity, and Inclusion (DEI)

Documents

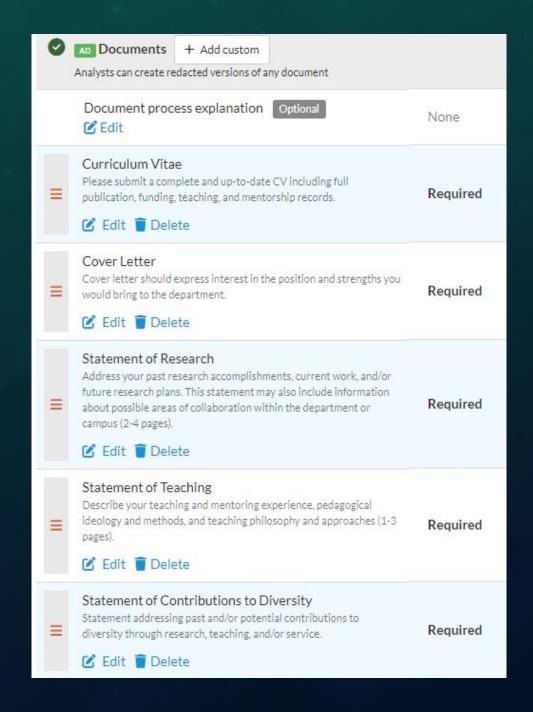
- Documents are set as Required or Optional
 - Add or delete slots
 - Statements of Contribution to Diversity are Required for Senate searches
 - Must be Optional for non-Senate, or removed altogether
 - Any documents set as "Required" must not act as placeholders (e.g. a CV uploaded to all slots – the system cannot distinguish the content)
 - Carefully consider the "Required" setting applicants who have not uploaded the correct required documents cannot be advanced in consideration
- Add descriptions to the documents for further context

Documents (default)





Documents (configured)



Qualifications

Basic

- Objective
- Non-comparative
- Relevant to position
- Verifiable through application materials alone

Additional

- Same parameters as Basic Quals!
- Also required
- Must be met by start date

Preferred

Desirable but not required

Can be problematic if these are not met by anticipated start date advertised in the "Position" tab.

Recommend qualifying anticipated start date in Position details with "or later" to allow flexibility

Qualifications

Basic AND Additional:

- Non-Comparative
 - e.g. three years' experience in a particular position, rather than a comparative requirement such as "must have the most years' experience, among all candidates"
- Objective
 - e.g. "an advanced degree or enrolled in an advanced degree program at the time of application" but not "a technical degree from a good school"
- Relevant to the performance of the particular position
- Discrete and verifiable by evidence or statements in the applicant's submitted materials (or through an interview for additional qualifications)
 - e.g. specific degree requirement or "1 year of teaching experience" vs "1 year of experience programming with Python" \rightarrow the latter might be better in Additional Qualifications

Qualifications, cont'd

- Basic: required at the time of application
 - Should be able to be discerned @ initial screening of application materials alone
 - Dept AP analyst should do this assessment after review date passes
- Additional: required at the time of appointment start date
 - Should be thought of as minimum requirements necessary to perform the job
 - Can be discerned at later stages of review, e.g. interviews
 - Failure to meet any of these add'l requirements (by the advertised anticipated start date) = disqualified for hire
- Preferred: desirable but not required

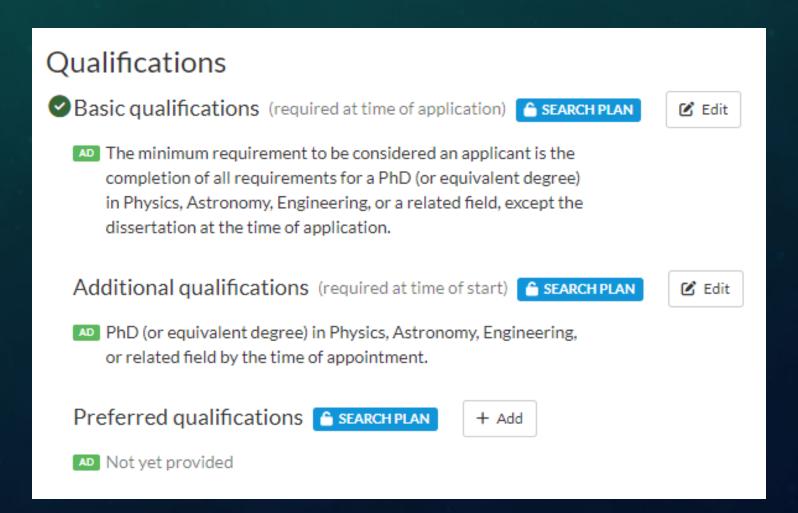
Qualifications: Scenario #1

- Search is set up with Basic Qualification of "PhD degree in Earth Sciences"
 - IRD = Aug 1, committee begins reviewing applications on Aug 2
- Applicant Smith applied on June 1, indicating their PhD is expected to be conferred July 1. Does Applicant Smith meet basic qualifications?
 - No, because they did not have the PhD in hand at the time they applied
- Applicant Doe already has a PhD in Oceanography when they apply. Do they meet basic qualifications?
 - No, unless the Basic Qualifications were listed with an "or related field" qualifier

Qualifications: Scenario #2

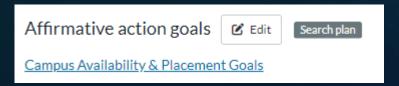
- Search is set up with Basic Qualification of "Master's Degree"
- Applicant Jones is a PhD candidate (has advanced to candidacy)
 - They were not formally conferred a Master's degree along the way
- Does Jones meet Basic Qualifications?
 - Yes, because the educational level of PhD candidacy exceeds that of a Master's degree

Qualifications



Diversity

- Availability Demographics
 - Based on Fields of Study
 https://recruit.ap.ucsb.edu/analyst/help/resources#fields of study
- Affirmative Action Goals
 - Based on title and level
 - Add Campus Availability & Placement Goals



Diversity

Diversity

Availability demographics & Edit



Search plan

The diversity of candidates available in each field of study identified.

Availability cohort Other Academic Positions 3

Data source 2016 – 2020 IPEDS Campus data; 2022 Health Sciences data See raw numbers

Field of study	Male	Female	African American	Hispanic	Asian	Native American	Minority Total ②	White
Campus: Communication and Media Studies	31.6%	68.4%	6.2%	7.2%	8.6%	1.0%	25.8%	70.3%
Campus: Communication, General	42.9%	57.1%	2.9%	5.7%	2.9%	0.0%	14.3%	80.0%
Campus: Communication and Media Studies, Other	35.3%	64.7%	21.2%	5.3%	5.3%	0.0%	36.5%	59.4%
Campus: Business/Corporate Communications, General	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%
Average ?	34.1%	65.9%	12.1%	6.3%	6.8%	0.5%	29.2%	66.7%

Advertisements

- Planned Search & Recruitment Efforts
 - Description of planned advertisement and outreach efforts in order to reach a broad and inclusive applicant pool, particularly groups underserved per the Affirmative Action Plan
- This stage provides the chance to cultivate a highly-qualified, diverse applicant pool. It is important to identify and follow through on advertising and outreach throughout the recruitment
 - If the committee plans to advertise and conduct outreach be sure to check that it
 is available and within the budget

Selection Process: Selection Criteria

- These are the criteria the committee uses to assess applicants
- Should take into account Basic, Additional, and Preferred Qualifications
- Include additional info about how some of these qualifications are assessed, e.g.
 - "Strong oral communication skills" might be listed as a Preferred Qualification, but how do you judge this? Could be based on job talk, etc... explain this in the Selection Criteria
- Criteria should be specific, measurable, and tied to the skills, experience, and expertise required for the posted position

Implicit/Unconscious Bias in Selection Process

- https://equity.ucla.edu/know/implicit-bias/
- Addressing this begins with a comprehensive and transparent Selection Process
- Develop assessment criteria prior to review of applicant files
 - Identify specific areas related the job description (e.g. research, teaching for Senate faculty); consider assigning specific weights/priorities
 - Focus on objective markers for productivity and success
- Identify questions and/or areas of focus for interviews and visits
 - Provide all interviewed candidates the same opportunity to address identified questions/areas of interest

Selection Process: Selection Plan

- Road map the search committee expects to follow to arrive at a finalist
- Should include steps committee will take and evaluation tools it will use, from
 - Start (applicant intake)
 to
 - Finish (finalist selected)
 - How will applicants be screened? What evaluation protocols are in place? Voting procedures? How will interviews be conducted? Will there be a long shortlist and/or shortlist? How/when will reference letters be used? Etc...
- Search committee is expected to follow this process when reviewing, managing, and interviewing applicants

Search Committee



- Department staff should not be added as committee members, as they already have staff admin access to UC Recruit.
- Graduate students should not be added to the committee in UC Recruit. It is more appropriate for them to involved in later stages outside UC Recruit, e.g. attending job talks, lunch with the candidate, provide feedback to committee

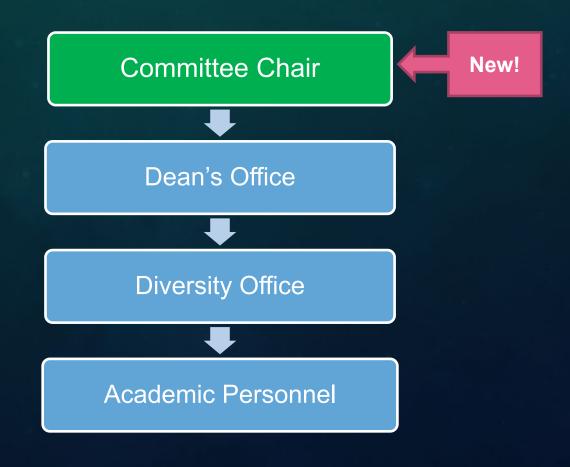
Search Committee: Conflict of Interest

- Can arise between applicant and faculty, e.g.
 - Committee member was applicant's PhD advisor
 - Voting faculty asked to write letter of reference in UC Recruit
- Mitigation plan encouraged
 - Can be included in Selection Plan info
 - Must be explained in Search Process summary document

Final Steps

- Submit Search Plan for approvals
- Dept should await feedback and promptly respond to/resolve Change Requests from approvers
- Upon final approval, dept publishes the Search Plan and places ads
- Wait for applicants to apply

Approval Workflow



Some Notes on Applicant Management

Applicant Management

- Applicants must first assemble all required materials and then explicitly hit the "Submit" button to send their application to your recruitment for consideration
 - Will be listed as "Applied" on your applicant list
 - Application is locked and further edits may not be made
- As you're reviewing qualified applications, make updates in real time:
 - Update applicant statuses
 - Assign disposition reasons
 - Don't wait to do this at the time of Shortlist/Search Report submission!
 - Fair hiring practices are documented, e.g. timestamps and activity logs

Applicant Statuses and Disposition Reasons

 Applied (current): Application has been completed and is ready for evaluation and review O Serious consideration: Applicant should be considered for the shortlist Recommended for preliminary interview: Applicant recommended to receive a preliminary interview to judge qualifications Preliminary interview completed: Applicant has received a preliminary interview to judge qualifications Recommend for interview: Applicant recommended for interview Interviewed: Applicant has been interviewed O Soft offer extended: A verbal or informal offer has been made to the applicant, even if it lacked some offer details O Declined soft offer: The applicant declined a verbal or informal offer based on the details they were given O Proposed candidate: Applicant recommended for appointment O Declined soft offer after proposed candidate: After being recommended for appointment, the applicant declined a verbal or informal offer based on the details they were given Offered: Approvals have been obtained and a formal offer has been made to the applicant Accepted offer: Approvals have been obtained and a formal offer has been accepted by the applicant O Declined offer: Approvals have been obtained and a formal offer has been declined by the applicant O Hired: Applicant entered in payroll system in searched title

Withdrawn: Applicant has withdrawn themselves from consideration

- · Alternate for position
- · Duplicates or significantly overlaps existing area of strength in department/school/college
- Interview showed some deficiencies
- Job talk showed some deficiencies
- Lacks sufficient clinical experience
- · Lacks sufficient contributions to diversity/cultural competence
- · Lacks sufficient depth/breadth of research/creative excellence or impact
- · Lacks sufficient leadership experience for position
- Lacks sufficient potential for successful attraction, advising, and mentoring of students/trainees/postdocs
- · Lacks sufficient research achievement/potential
- · Lacks sufficient teaching achievement/potential
- Other, please specify
- · Publication record shows some deficiencies
- Reference letters were weak
- · Specialization or area of expertise for position or department needs shows some deficiencies

Short List

- Short List report must be submitted and approved in UC Recruit prior to inviting Senate candidates to campus for interviews
- Interviews
 - Ensure consistency across all candidates
 - Keep questions job-related; focused on skills, experience, abilities relevant to job
 - Exercise caution if conversation goes into more personal details, e.g. during meals
 - https://ap.ucsb.edu/resources.for.department.analysts/content/DFEH.Empl.Sheet.and.Interview.Qs.to.avoid.
 pdf

Interview Questions: Do's and Don'ts

Which of the following possible interview questions are problematic in light of the California Department of Fair Employment & Housing guidance?



What country are you from originally? OR: What kind of visa do you have currently?



Are you currently authorized to work and accept new employment in the U.S. on a full-time basis?



- Do you have any conditions that might require accommodations?
 - Employer may only ask if an applicant can perform job-related functions

Search Report

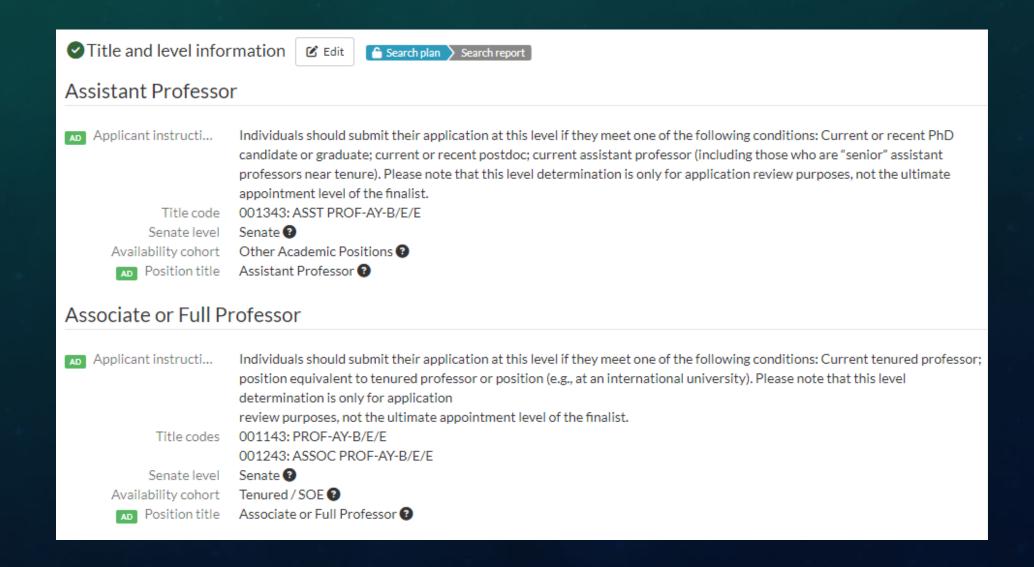
- Search Report is required prior to submission of appointment case
 - Soft offer is NOT the formal offer (formal offer can only be issued by AP Office upon completion of appointment case)
- Search Process Summary is an important component of the Search Report
 - Describe the process used to determine the Proposed Candidate
 - Include any changes or deviations from the Selection Process
 - https://ap.ucsb.edu/resources.for.department.analysts/content/EEOAA Search Report Guidelines.pdf

Other Search Types

Multi-Level Searches

- Recruiting for multiple ranks, e.g. open rank (Asst, Assoc, Full), or tenured (Assoc, Full)
 - If FTE approved @ more than one rank
- Allows for:
 - Applicants to select level they are applying for
 - Setting different document/reference requirements for different levels
- Must share one single set of Basic Qualifications regardless of level

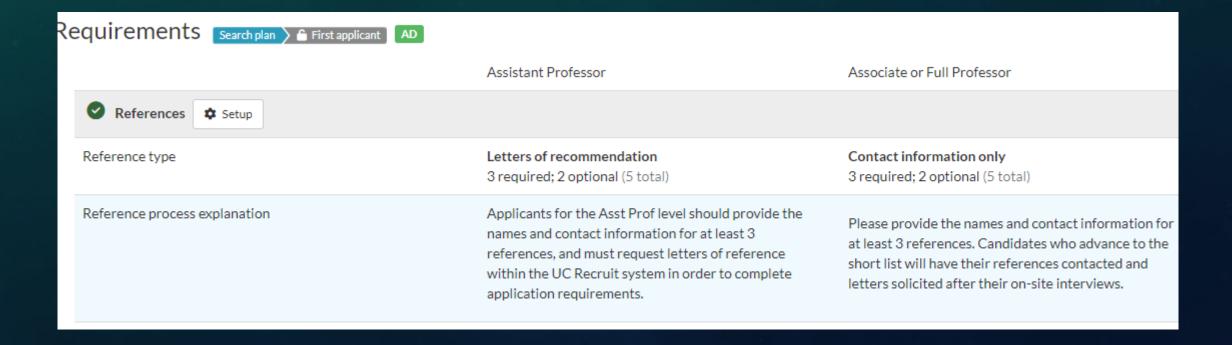
Position tab: Title details



Requirements

- Application requirements may be set up differently for different levels
- Some documents may be "required" for one level but "optional" or "not requested" for another level
- References = most common divergence of requirements, e.g.
 - Letters required for Asst Prof level
 - Contact info only for tenure level
 - Why? Because tenured faculty may not want to let their current institutions know they are on the job market unless they advance in consideration

Requirements: example



Upcoming Workshops

- More offerings of Search Plan workshops for Search Committees
 - **Winter:** Friday, Jan 31, 2025
 - **Spring:** Wednesday, Apr 9, 2025
 - Summer: multiple sessions TBD in preparation for 25-26 recruitment cycle
- Workshops for Staff
 - Applicant Management: Tuesday, Nov 12, 2024
 - Recruitments Workshop: Tuesday, Dec 3, 2024

