General

- In the OATS system, the reporting of outside activity and the reporting of time spent on that activity are processed as two distinct operations. Faculty should enter each activity within the appropriate fiscal year using the “Enter an Activity” button. Please refer to Step-by-Step Directions for Faculty for additional details.

![Enter an Activity]

- Depending upon the details provided, the system classifies each entry as Category I (subject to time limits and requiring prior approval); Category II (allowed within time limits but without prior approval); or Category III (not required to be reported and not subject to time limits). Entries of activities which involve students must also seek prior approval.
  - Entries requiring prior approval should not commence until or unless approval is obtained.

- Time spent engaging in each activity is reported as “effort.” Hours are tracked using the “Report Effort” button:

![Report Effort]

- Faculty need only report 8 hours maximum for any single day since they are exempt employees. If fewer than 8 hours are spent in a single day, actual hours may be entered. Examples:
  - Faculty spends 10 hours on 9-30-19 and enters 8 hours.
  - Faculty spends 3 hours on 10-11-19 and enters 3 hours.

- If faculty engage in an ongoing activity, effort may be reported any time after the activity has been entered (and/or approved, as needed). Effort reporting does not need to be entered all at once or retrospectively. This makes it easier to submit the end of year APM 025 report.

- Faculty may report effort spent on specific Cat. I or Cat. II activities in timeframe increments. Examples:
  - 10 hours 11-2-2019 to 12-3-2010
  - 7 hours 7-10-2020 to 7-31-2020

- Faculty jointly appointed in two or more departments fill out and submit one annual report. Forms route serially rather than simultaneously as follows:

  Primary Department AP Analyst > Primary Department Chair > Secondary Department AP Analyst > Secondary Department Chair
• Faculty covered by APM 025 are not required to report compensation (unless faculty is also a Dean or full-time faculty administrator). OATS automatically populates “uncompensated” in the “type” field and $0 for “Earnings” on the Annual Certification form:

![Annual Certification Form](image)

**Academic-Year Effort Reporting**

• Time engaged in an activity during the academic year must be reported within the service dates for that year. For 2018-19, UCSB’s academic year was 9-23-2018 through 6-14-2019. For 2019-20, the academic year is 9-22-2019 through 6-12-2020.
  
  o Please enter a **start date that begins on or after** the academic year start date and that **ends on or before** the academic year end date
    
    ▪ For 2018-19, enter a start date on or after 9-23-2018 and an end date on or before 6-14-2019.
    ▪ For 2019-20, enter a start date on or after 9-22-2019 and an end date on or before 6-12-2020.

• Effort (hours) reported within the academic year will count towards the 312-hour (39 day) limit. Exceptions to exceed this threshold are not permitted.

**Summer Reporting Requirements**

• Cat. I, Cat. II and student involvement during the summer are subject to APM 025 prior approval and conflict of commitment reporting requirements.

• Effort (time) reporting for Cat. I and Cat. II activities must be done if the faculty member is receiving additional summer salary compensation from the University. UC policy allows for a maximum of eight hours of outside activity for each week during which summer salary is received.
  
  o If summer payments are from an extramural fund source, please check with the funding agency regarding their policies.
• Time engaged in an activity during the summer is separate and distinct from time during the academic year. Hours reported within a summer period will not count towards the 312-hour academic year threshold. Time thresholds cannot be combined or moved from one period to the other.

• Time spent on summer outside activity must be reported within the summer term. A reporting period’s “summer term” spans the beginning of UCSB’s fiscal year (July, Aug, and part of Sept) as well as the last few weeks of June of that fiscal year.

• UCSB's summer term for the 2018-19 reporting period is 7-1-2018 through 9-22-2018 and 6-15-2019 through 6-30-2019
  o When reporting hours on a summer activity from the beginning of 2018-19, enter a start date on or after 7-1-2018 and an end date on or before 9-22-18.
  o When reporting hours on a summer activity during June 2019, enter a start date on or after 6-15-2019 and an end date on or before 6-30-2019.

• UCSB’s summer term for the 2019-20 reporting period are 7-1-2019 through 9-21-2019 and 6-13-2020 through 6-30-2020
  o When reporting hours on a summer activity from the beginning of 2019-20, enter a start date on or after 7-1-2019 and an end date on or before 9-21-2019.
  o When reporting hours on a summer activity during June 2020, enter a start date on or after 6-13-2020 and an end date on or before 6-30-2020.