

I-15
DOCUMENTS TO BE SUBMITTED BY THE CHAIR
APPOINTMENTS
(Revised ~~4/23~~)

All appointment cases are submitted via AP Folio.

I. Departmental Letter of Recommendation

Accurate and analytical letters of recommendation from the department are essential in the review process. See Red Binder I-35 for further detail of content of departmental recommendations

- ☐ Are the start date, rank and step all clearly stated?
- ☐ Is the recommended salary on the published salary scale?
- ☐ Is the off-scale supplement correct (if applicable), per off-scale general policies (RB I-8)?
- ☐ Is a salary justification provided?
- ☐ Is the actual vote included (e.g. 10(yes)-0(no)-0(abstentions)-3(not voting))? Is there an indication of how many were eligible to vote?
- ☐ Is the letter an accurate, extensive, and **analytical** representation of the case?
- ☐ If the case contains extramural letters, are letter writers identified **only** by coded list, with no identifying statements?
- ☐ Are the candidate's qualifications, educational background, and area(s) of specialization all discussed?
- ☐ Are all four areas of review covered: teaching, research, professional activity and university and public service?

II. Extramural Letters of Evaluation and List of Evaluators (Red Binder I-49)

Extramural Letters

- ☐ For tenured appointments, are there at least 6 letters?
- ☐ For tenured appointments, are at least half of the letters from references chosen by the Chair/Dept independent of the candidate?
- ☐ Have all letters been coded, on all copies?
- ☐ If the letters were sent via email, is a copy of the email and any attachment included?

Sample Solicitation Letter(s) and/or Thank you letter(s) for unsolicited letters

- ☐ Was the proper wording used in the letter (RB I-49 to I-50)?
- ☐ Is a list of all informational items sent to referees (e.g. CV, Bio-Bib, publications sent, etc, per RB I-46-VI) included? Is a copy of each item included as either part of the case or a one-of-a-kind item?
- ☐ If different versions of either the letter or the materials went out, is a sample of each included?

List of Referees, including brief Biography and indicating who selected referees

- ☐ Do the codes on the letters match the codes on the list and the codes used in the departmental letter?
- ☐ Does the list clearly indicate if the referees were candidate, department or jointly selected?
- ☐ Are the names of everyone who was asked to write included? For those who did not respond is a reason for no response listed?

III. Complete CV and Academic Biography Form

- ☐ Is the CV up to date?
- ☐ Is the Academic biography form complete, signed and dated?

IV. Copies of Publications

- ☐ Has a representative sampling of publications been submitted?
- ☐ Have links to electronically submitted items been verified?
- ☐ If items cannot be submitted electronically, have arrangements been made with the Dean's office?

V. Start-up Request Information (see RB I-18)

- ☐ Have all start-up issues been addressed?

VI. Misconduct Disclosure and Release Form

- ☐ Has the candidate submitted the Misconduct Disclosure Form?
- ☐ Has the candidate submitted the Authorization for Release of Conduct Information Form?

Other considerations:

1. If a search was conducted, the search report must be approved in UC Recruit before the appointment is submitted. If no search was done, a waiver must have been approved.
2. The Procedural Safeguard and Certification Statement is not used for new appointments. However, candidates for appointment, once appointed, do have the right to inspect non-confidential documents in their files and to have a redacted copy of the confidential academic review records contained in the personnel review file received pursuant to APM 220-80-i.
3. When putting forward a case for a non-resident alien (i.e. not currently a US Citizen or a Permanent Resident), the department is strongly encouraged to consult with the Office of International Students and Scholars at the time the offer is being considered to be assured that labor certificate processing deadlines are met.